

**Mr John Gilchrist**  
Freedom of Information Officer

31 January 2019

Ref: FOI/January 2019/ Chris Saltmarsh

By email to [foi@peopleandplanet.org](mailto:foi@peopleandplanet.org)

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Dear Mr Saltmarsh

Your request for information received on 9 January 2019 has been dealt with under the provisions of the Freedom of Information Act 2000 (FOIA).

You asked:

This is a request for information through the Freedom of Information Act.

1. What was the total market value of the institution's endowment funds and any other investment portfolios on 22 October 2018? If you are going to provide this information through your annual accounts, can you provide the specific page with the relevant figure highlighted.
2. On 22 October 2018, were the institution's endowment funds and any other investment portfolios managed directly by the institution, or indirectly by (an) external fund manager(s), or a combination of both?
3. If the institution uses external fund manager(s) to manage any endowment funds or other investment portfolios, please provide the name of each fund manager used, along with the % of the total endowment funds that they were managing on 22 October 2018. If the institution uses several fund managers, please list each fund manager name separately along with the % of the institutions total endowment and investment portfolio that they manage.
4. Does the university invest directly in companies?
5. If the institution invests directly, please provide the details of what the institution invests in (by way of endowment and / or other investment portfolios), including the full names of each company invested in, and the market value invested in each company on 22 October 2018?  
Please provide this information in a spreadsheet format.
6. If the university holds investments through an external fund manager, please provide the investment portfolio for that manger from 22 October 2018, including the market value for each of their investments?

Please use a spreadsheet to provide the information and please use the format shown in this example:

[https://docs.google.com/spreadsheets/d/1OIOEAmiiCQwGxFE\\_OIXqCnWNXyG243Pdn1xKXHnbR7U/edit?usp=sharing](https://docs.google.com/spreadsheets/d/1OIOEAmiiCQwGxFE_OIXqCnWNXyG243Pdn1xKXHnbR7U/edit?usp=sharing)

7. Does the institution have an ethical investment policy, or similar? If so, please state if it is publicly available, and provide a web link. If it is not publicly available, please provide a copy.

### **University Response**

1. Investments as at 31 July 2018 can be found in the Financial Statements ([https://www.mdx.ac.uk/\\_data/assets/pdf\\_file/0017/500615/Financial-Statements-2017-18.pdf](https://www.mdx.ac.uk/_data/assets/pdf_file/0017/500615/Financial-Statements-2017-18.pdf)) section 23, cash and cash equivalents, page 86

2. Both

3. Royal London Asset Management – Short Term Money Market Fund, Cash Plus Fund, Enhanced Cash Plus Fund.

4. No

5. N/A

6. Please refer to our Financial Statements, specifically section 23

7. No

I trust this satisfies your request sufficiently. Under the Freedom of Information Act 2000, you have the right to complain about the University's response to your request for information. If you have such a complaint, you may contact Mr David Maplas, Director of Student Affairs, Middlesex University, Hendon campus, London NW4 4BT, tel: 020 8411 5830, [d.malpas@mdx.ac.uk](mailto:d.malpas@mdx.ac.uk) within 40 days of the date of this letter for a review of the University's decision. If you remain unsatisfied with the outcome of that complaint, you may seek further recourse by lodging an appeal with the Information Commissioner.

Yours sincerely

**John Gilchrist**  
Freedom of Information Officer